

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
Debtors. :  
-----x Ref. Docket No. 8593

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 28, 2010, I caused to be served personalized "Notice of Defective Transfer" (the "Defective Transfer"), a sample of which is annexed hereto as Exhibit "A", by causing true and correct copies of the Personalized Transfers, enclosed securely in separate postage pre-paid envelopes, to be delivered by first class mail to the parties listed on the annexed Exhibit "B". I also caused to be served copies of the personalized Transfer, enclosed securely in a separate pre-paid envelope, to be delivered by first class mail to the party listed on the annexed Exhibit "C".
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
29<sup>th</sup> day of June, 2010

/s/ Lauren Rodriguez  
Lauren Rodriguez

/s/ Elli Petris  
Notary Public, State of New York  
No. 01PE6175879  
Qualified in Nassau County  
Commission Expires October 22, 2011

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X-----  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
-----X-----

**NOTICE OF DEFECTIVE TRANSFER**

Transferor: YORVIK PARTNERS LLP  
ATTN: LISA KING  
11 IRONMONGER LANE  
LONDON EC2V 8EY  
U.K.

Transferee: SOTHIC CAPITAL EUROPEAN OPPORTUNITIES MASTER FUND LTD  
ATTN: GEOFF HAFFNER  
C/O SOTHIC CAPITAL MANAGEMENT LLP  
50 HANS CRESCENT  
LONDON SW1X 0NA  
U.K.

**Your transfer of claim #5276 is defective for the reason(s) checked below:**

CLAIM NUMBER IN ERROR

Refer to docket number 8593 in your objection.  
If you file an objection, a hearing will be scheduled.  
If your objection is not timely filed, the transferee will be substituted on our records as the claimant.

/s/ Lauren Rodriguez

Epiq Bankruptcy Solutions LLC  
as claims agent for the debtor(s).

FOR BSI USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 28, 2010.

**EXHIBIT B**

YORVIK PARTNERS LLP  
ATTN: LISA KING  
11 IRONMONGER LANE  
LONDON EC2V 8EY  
U.K.

SOTHIC CAPITAL EUROPEAN OPPORTUNITIES  
MASTER FUND LTD  
C/O SOTHIC CAPITAL MANAGEMENT  
50 HAND CRESCENT  
LONDON SW1X 0NA  
U.K.

**EXHIBIT C**

Weil, Gotshal & Manges LLP  
Attn: Christopher A. Stauble  
767 Fifth Avenue  
New York, NY 10153